Session1: Basics of IP rights
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# Judicial System in Japan (IP-related case)

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Judge, IP High Court of Japan

	India	Japan
GDP (Nominal/PPP) 2015 trillion of U.S. \$	2.07 8.00	4.12 4.84
Patent App. 2015 thousand	45	318
Specialized Court for IP	Commercial Courts etc. (2015-)	IP High Court (2005-)

### Contents

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### Statute

#### **Continental Law**

- Patent Act (Utility Model Act)
- Copyright Act
- > Trademark Act
- Design Act
- Unfair Competition Prevention Act

### Statute

Intellectual Creation

Patent

Copyright

Design

Unfair Competition Prevention

Commercial Reputation

Trademark

Unfair Competition Prevention

Registration needed at the JPO

Trade secret X Passing Off

### Obtain Patent Right

Registration (JPO) \*2015

Examine

Fulfillment Requirements
(Novelty, Inventive Step, Enablement, Clarity, ...)
9.5month(until first action)
71.5% Granted

Trial against examiner's decision of refusal

12.5month

76.5% Granted

4372 Cases Not Accepted

# Obtain Patent Right

Registration (IP High Court) \* 2015

Ex-parte Appeals

Suits against appeal decisions made by the JPO

Applicant vs. JPO Commissioner, 73 Cases

8.7 months(incl. Inter-parte Trials)

27% Cancellation of appeal decisions

Jurisdiction

**Exclusive Jurisdiction of the IP High Court** 

#### Work

Exclusive right to work the patented invention as a business

Working: Producing, Using, Assigning, etc.

Scope is determined based upon the statements in the scope of claims

### Patent Infringement Suits

Special provisions in Patent Act

**Substance** 

Seek Injunction as well as Compensation

Presumption of Amount of Damage

Presumption of Negligence

Procedure

Order to produce documents

Protective order to protect trade secrets

Expert opinion for calculation of damages

### Patent Infringement Suits

Jurisdiction

First Instance

Exclusive Jurisdiction of Tokyo and Osaka

**District Court (Specialized Division)** 

Second Instance

**Exclusive Jurisdiction of IP High Court** 

### Patent Infringement Suits

> Procedure

Two-phase proceedings system

Stage for examination on infringement

Stage for examination on damages

The court may attempt to arrange a Settlement

### Patent Infringement Suits

Claim construction

Whether the products fall within the technical scope of patented invention?

Doctrine of Equivalent

If there is a part different from the products in the construction as indicated in the scope of the patented claims

→ Not infringement in principle → However

### Patent Infringement Suits

- Doctrine of Equivalent (cont.)
  - However, if
    - a) this part is not essential part
    - b) replacing this part, identical function and effect
    - c) easily come up with the idea of such replacement
    - d) the products are not identical to the technology in the public domain at the time...
    - e) no special circumstances, like the products had been intentionally excluded from the scope of the patent claim

### Patent Infringement Suits

Doctrine of Equivalent (cont.)

the products fall within the scope of the technical scope of the patented invention ("Ball spline bearing" case. Feb 24, 1998 Supreme Ct.)

"Maxacalcitol" Grand Panel case. Mar. 25, 2016 IP HC made these 5 requirements clear.

In detail, please go to the HP of the IP High Court



Patent Infringement Suits

\*2014-2015 First Instance

Result (total 202 Cases) Judgement 125 Cases (61%) (28 Cases in favor of Patentee) Settlement 77 Cases (39%) (61 Cases include Injunction and/or Compensation clause)

#### **IP related Civil Cases**

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    Number of Cases *2015 All District Court/Fist Instance
    533 Cases Commenced
    28.9% Patent
    22.9% Unfair Competition Protection
    20.1% Trademark
    19.7% Copyright
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Time Intervals \* 2015
 14.2months (All District Courts)
 7.6months (All High Courts)

# Alleged Infringer's Defense

Registration (JPO) \*2015

- Trial for patent invalidation
- 227 Demands(only an interested

person may file)

- 6.8 month(incl. correction etc.)
- 22% Accepted(Invalid incl. partially)
- 78% Not Accepted(Valid)

# Alleged Infringer's Defense

Registration (IP High Court) \* 2015

Inter-parte Trials

Suits against trial decisions made by the JPO

Mostly, Alleged Infringer vs. Patentee, vice versa

116 Cases

8.7 months(incl. Ex-parte Appeals)

37% Cancellation of Trial Decisions

Jurisdiction

**Exclusive Jurisdiction of the IP High Court** 

# Alleged Infringer's Defense

Patent Infringement Suits

Defense of Invalidity

Where the said patent is recognized one that should be invalidated, the rights of patentee may not be exercised. (Japan adopted the so-called Double Track System)

71% of the patent infringement suits, validity of patents are rebutted.

Established on April 1, 2005

Awareness in Japan that it is necessary to revitalize the Japanese economy by promoting the creation, protection and use of IP at a national level

#### Constitution

- 4 Divisions
- > 17 Judges(as of today)

Career system

Not required any technical background

#### Jurisdiction

> IP-related civil appeal cases

Technology-related actions(Patent etc.)

Exclusive Jurisdiction of IP High Court

Non-tech-related actions (Copyright, Trademark etc.)

**Each High Court** 

Suits against decisions made by the JPO Exclusive Jurisdiction of IP High Court

### Use of Expert Knowledge

Judicial Research Officials

11 Full-time court staff members

Former JPO trial examiner, Patent Attorney

Technical Advisors

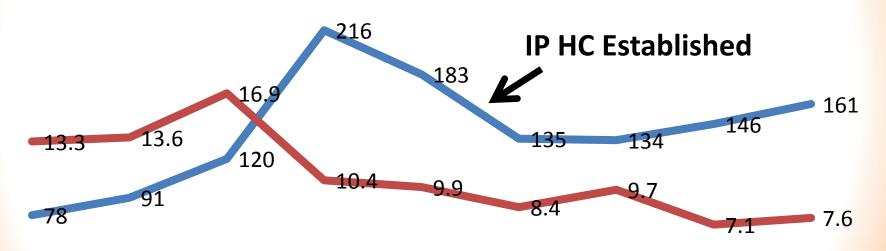
200 Part-time national public officers

Designated ad-hoc

University professors, Researchers etc.

IP-related civil appeal cases (All High Courts)

—Commenced —Intervals(months)



1991 1994 1997 2000 2003 2006 2009 2012 <mark>2015</mark>

More cases, Prompt Solutions

#### International Info Dissemination

#### Website

IP High Court provides some contents in foreign languages.

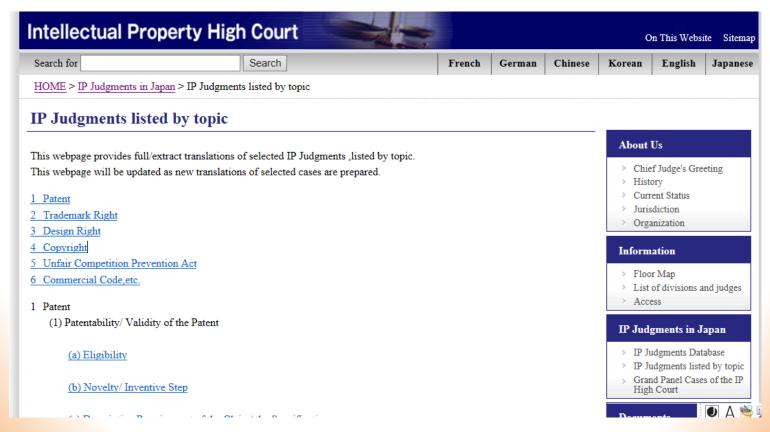
Especially important IP judgements are listed by topic on the webpage "IP Judgements listed by topic"



http://www.ip.courts.go.jp/eng/hanrei/judgments\_list/index.html

### International Info Dissemination

Website (cont.)



#### International Info Dissemination

Judicial Symposium on IP 2017

IP High Court organizes an Int'l Symposium.

From 30 Oct. to 1 Nov. 2017 in Tokyo.

Mock Trial under the theme of procedures of gathering evidences.

# Thank you